

REMARKS

Claims 1 – 20, 22, and 24 are in the application. Claims 1, 22, and 24 are currently amended; claims 21 and 23 are canceled; and claims 2 – 20 remain unchanged from the original versions thereof. Claims 1, 22, and 24 are the independent claims herein.

No new matter has been added to the application as a result of the amendments submitted herein. Reconsideration and further examination are respectfully requested.

Claim Rejections – 35 USC § 102(e)

Claims 1 - 3, 5, 9, 14 – 16, and 20 were rejected as being anticipated by U.S. Patent No. 6,513,019 B2 (hereinafter, Lewis). This rejection is respectfully traversed.

Claims 1 and 22 are currently amended to clarify that Applicant claims methods related to monitoring a financial exposure of an entity having a plurality of operating units. The claimed methods and computer readable medium include, inter alia, mapping at least one product identifier to a standardized product identifier by associating product nomenclature from each of the plurality of operating units with product nomenclature of the entity; mapping at least one collateral identifier to a standardized collateral identifier by associating collateral nomenclature from each of the plurality of operating units with collateral nomenclature of the entity; and generating aggregated exposure information for said entity related to said at least one customer. That is, Applicant claims mapping at least one product identifier to a standardized product identifier and mapping at least one collateral identifier to a standardized collateral identifier wherein the product and collateral nomenclature for individual operating units of the subject entity are associated with product and collateral nomenclature of the entity to provide standardize product and collateral identifiers, respectively. Further, the aggregated exposure information generated is provided for the entity as it relates to at least one customer to indicate a financial exposure of the entity as related to said at least one customer across the plurality of operating units.

That is, the aggregated exposure information indicates the exposure of the entity as related to the at least one customer over the plurality of operating units.

Support for the current claim amendments may be found in the specification at least at paragraphs [0006] – [0010], [0110] - [0118], and [0120] – [0123], as indicated in the published version of the present application (U.S. Pub. No.: 2002/0188335 A1)

In contradistinction to at least the currently amended claims, the cited and relied upon Lewis fails to disclose or even suggest the claimed mapping the at least one product to a standardized product identifier by associating product nomenclature from each of the plurality of operating units with collateral nomenclature of the entity and mapping the at least one collateral item to a standardized collateral identifier by associating collateral nomenclature from each of the plurality of operating units with collateral nomenclature of the entity identifier.

Instead, Lewis is related to a system and method for financial data reporting, entry, and analysis. However, there is no disclosure of the claimed mapping the at least one product to a standardized product identifier by associating product nomenclature from each of the plurality of operating units with collateral nomenclature of the entity and mapping the at least one collateral item to a standardized collateral identifier by associating collateral nomenclature from each of the plurality of operating units with collateral nomenclature of the entity identifier.

Therefore, Applicant respectfully submits that claims 1 and 22 are not anticipated by Lewis under 35 USC 102(e). Claims 2 – 20 depend from claim 1. Applicant submits that claims 2 – 20 are patentable over Lewis for at least depending from an allowable base claim.

Accordingly, the reconsideration and withdrawal of the rejection of claims 1 - 3, 5, 9, 14 – 16, and 20 under 35 USC 102(e) are respectfully requested, as well as the allowance of same.

Claim Rejections – 35 USC § 103(a)

Claims 4, 6 - 8, 10 – 13, 17 – 19, and 21 - 24 were rejected as being obvious over Lewis. This rejection is respectfully traversed.

Claims 21 and 23 are canceled. Therefore, any rejections thereto are moot.

Inasmuch as the independent claims have been amended as discussed hereinabove and clearly shown not to claim that which is disclosed or suggested by Lewis, Applicant respectfully submits that dependent claims 4, 6 - 8, 10 – 13, 17 – 19 are not rendered obvious by Lewis. Regarding claim 24, Applicant respectfully submits that Lewis fails to disclose, at least, the mapping aspects of claim 24 as currently amended.

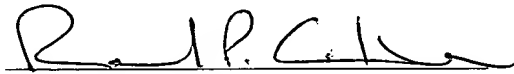
Accordingly, the reconsideration and withdrawal of the rejection of claims 4, 6 - 8, 10 – 13, 17 – 19, and 21 - 24 under 35 USC 103(a) are respectfully requested, as well as the allowance of same.

CONCLUSION

Accordingly, Applicants respectfully request allowance of the pending claims. If any issues remain, or if the Examiner has any further suggestions for expediting allowance of the present application, the Examiner is kindly invited to contact the undersigned via telephone at (203) 972-5985.

Respectfully submitted,

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Date


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